

Clinical Inertia

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An Old Barrier in the Way of Effective Management of Diabetes?

Clinical inertia

Despite recent advances in medical care with well-defined therapeutic targets, availability of effective therapies and extensive dissemination of practice guidelines, healthcare providers and patients often fail to take appropriate medical intervention at appropriate time. Achieving glycaemic control early in diabetes management is central to reducing the risk of long-term secondary complications¹ and associated morbidity and mortality. For many patients with diabetes, maintaining adequate metabolic control is still a challenge with significant proportion (80%) of patients not being able to meet the therapeutic target of glycosylated haemoglobin (HbA_{1c}) $<7\%$ ². Despite the advances in diabetes care in the past 2-3 decades with well-defined therapeutic targets^{3,4}, availability of effective therapies and extensive dissemination of practice guidelines^{3,5}, initiation of appropriate medical intervention is often delayed⁶ in patients with diabetes. The failure to achieve therapeutic targets in patients with diabetes, points to a phenomenon known as 'clinical inertia' which is defined as "failure to initiate or advance therapy in a patient who is not at the evidence-based therapeutic goal" (Figure 1)⁷.

ADA recommended targets³

HbA_{1c} $<7\%$
Systolic blood pressure <130 mm Hg
Diastolic blood pressure <80 mm Hg
LDL-cholesterol <100 mg/dL

Due to the progressive nature of diabetes, over time physiologic insulin response and beta-cell function continue to deteriorate in presence of elevated blood glucose levels, even in patients with good glycaemic control. Consequently, in many patients insulin therapy becomes essential, regardless of intensification with oral anti-diabetic drugs (OADs). It is estimated that up to 60% of patients with diabetes will require insulin in the first 6-10 years after initial diagnosis or even earlier in patients with long-standing undetected diabetes⁸.

In patients starting insulin therapy, the simplicity of

basal insulin (once or twice daily) in combination with OADs makes it the choice of first-line insulin therapy⁹. However, this regimen cannot control post-prandial hyperglycaemia. Instead, premixed insulin demonstrates better glycaemic control over basal insulin, as it comprises of both intermediate and rapid-acting components, addressing basal and post-prandial insulin needs.

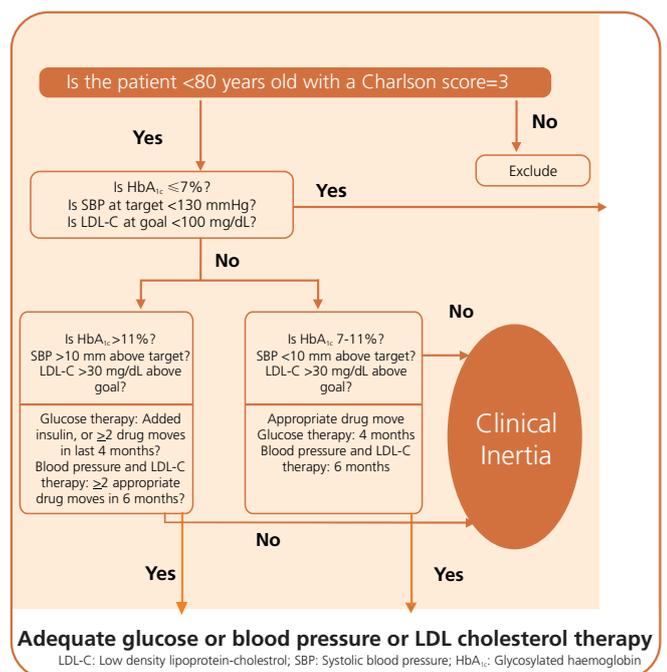


Figure1: Algorithm to identify clinical inertia⁷

Factors associated with clinical inertia

Propagation of clinical inertia in diabetes care is a result of number of multifactorial, interactive situations among patients, providers, and healthcare systems, and by the available treatment options themselves¹⁰; a combination of these is more likely to delay the initiation or advancement of effective, evidence-based therapy. To study the patient- and physician-related causes of delay in insulin initiation and intensification and improve outcomes of diabetes care, two multinational studies were initiated by Novo Nordisk.

Diabetes Attitudes, Wishes, and Needs (DAWN™) study is a collaborative program initiated in partnership with International Diabetes Federation (IDF) and an international expert advisory panel in 2001¹¹. The interview-based study was designed to identify a broad set of psychosocial challenges faced by patients with diabetes, physicians and nurses and help address the issues arising from these findings. The Global Attitudes of Patients and Physicians in Insulin Therapy (GAPP™) survey¹² assessed the barriers and challenges experienced with insulin self-management in patients and physicians from 8 countries.

Facts of diabetes: India¹³⁻¹⁷

- 63 million people with diabetes, second largest in world¹³
- Average HbA_{1c} is 9.4%, fasting plasma glucose (FPG) is 181.2 mg/dL¹⁴
- Mean duration of diabetes is 6.4 ± 4.2 years and secondary complications are seen in 32.2% of patients with diabetes¹⁴
- USD 2.8 billion is spent (2010) on diabetes which is <1% of world total¹⁵
- Mortality burden is more than one million (adults of 20-79 years), second highest in world¹³
- Higher odds of death from vascular diseases (odds ratio [OR] 4.05, p≤0.0001), renal causes (OR 7.39, p≤0.001) and infections (OR 1.61, p≤0.0001) in patients with diabetes than those without¹⁶ in patients with diabetes compared to healthy individual
- Failure of OADs is the most common reason for insulin initiation¹⁴
- Poor healthcare system and health literacy, and clinical inertia of physicians cause delayed diagnosis and increased complications¹⁷

Barriers to insulin use

Patient barriers

In India, awareness of diabetes in the general population is low^{18,19} and even amongst patients with diabetes, 50-65% take at least 2 or more years to realise that diabetes is a life-long condition¹¹ indicating low patient-physician communication.

Non-adherence to therapy by patients is one of the most significant factors contributing to clinical inertia²⁰. Noncompliance to medication is an independent risk factor (hazard ratio: 1.58) for all-cause mortality in patients taking insulin²¹. Physicians intensify treatment (53% greater odds) in patients highly adherent to medication than patients with low medication adherence²⁰. In addition to concerns on therapeutic side-effects related to hypoglycaemia (45%) and weight gain (55%)¹¹, patients with diabetes on insulin therapy expressed several psychosocial factors for intentional skipping of insulin therapy.

Psychological barriers to clinical inertia¹¹

- Self-blame upon initiation of insulin therapy
- Low belief on insulin efficacy and social stigma
- Fear of needles and anxiety of insulin treatment
- Lack of awareness of natural progression of diabetes
- Available in vials and syringes

Other factors like medication costs, concern for family and financial future, patient's emotional well-being, religious fasting, and poor knowledge of updated care process might contribute to non-adherence to insulin therapy. Financial constraint is a major contributor to clinical inertia in initiation and adherence to insulin

therapy in patients with limited social resources (lower education/occupation and unmarried), rural residency, and diabetes complications. In 2009, the median annual healthcare cost associated with diabetes in India was INR 30,361²² which is approximately thrice that in 2005 (INR 10,000)²³. In India, 23.4% of patients with diabetes suffered from depression²⁴ and expressed shock, guilt, anxiety, anger and depression upon diagnosis, indicating a negative attitude to diabetes¹¹. The patient reported reasons for intentional insulin skipping²⁵ were:

T2DM patients

- Injection related pain or embarrassment
- Need to plan daily activities around insulin
- Interference to daily activities with dosing schedule
- Burden of insulin injections

T1DM patients

- Number of daily insulin injections
- Need to follow a healthy diet
- Interference to daily activities with dosing schedule
- Stigma to take injections at school

Physician barriers

Physician barriers exist in delaying initiation or intensification of insulin therapy based on their perceptions⁶; viz., insulin therapy may not be effective, may result in weight gain, increase the risk of hypoglycaemia, is inconvenient and painful for patients and will result in patient dissatisfaction^{26,27}. Figure 2 depicts patient-physician perception towards insulin therapy and common reasons attributing to omission/non-adherence to insulin therapy⁶.

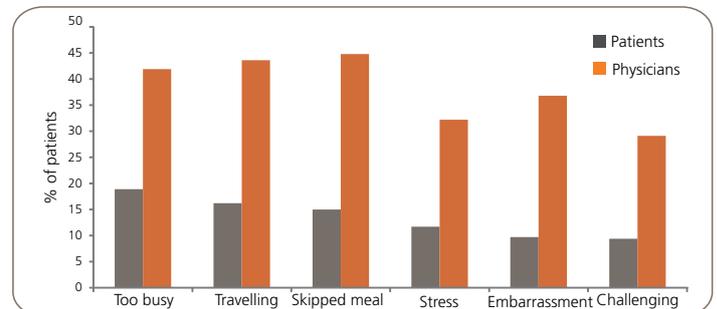


Figure 2: Patient and physician reported reasons* for insulin omission/non-adherence

*respondents were asked to select top three reasons; data are % of respondents choosing a reason as one of the three

Physicians from India delay insulin initiation until patients with diabetes reach HbA_{1c} >9%, longer than physicians from any other country²⁶ in the DAWN study.

Reasons for physician delayed insulin initiation²⁸⁻³¹

- Patients' concerns over daily injections, modification of lifestyle due to insulin, life-long dependence on insulin and perception of insulin therapy being associated with aggravated disease²⁸
- Poor awareness among physicians (22.7%), western guidelines being not applicable to Indian situations (22.7%), and cost to patients (18.2%)²⁹
- Prescribing alternate medicine or oral agents to retain patients³⁰
- Lack of practice of evidence-based medicine, patient counselling, poor referral to specialist doctors, sub-optimal knowledge of guidelines, time constraints, and other issues³¹

Physician and general practitioner (US and UK) cited reasons for clinical inertia include: HbA_{1c} >7% associated with patient's diet and lifestyle^{10,32}, perception of significant hyperglycaemia as mild³³ concerns about OADs, comorbidities, polypharmacy^{32,33} presuming patient's non-adherence to recommended treatment plan and overestimation of self-adherence to guidelines¹⁰.

Patient and physician barriers to insulin initiation: Review of surveys

Despite the unsurpassed efficacy of insulin in the management of diabetes and advances in diabetes care, both patients and physicians have concerns in initiating insulin therapy. Although hypoglycaemia and weight gain are the most frequent reasons for clinical inertia, studies on physicians and patients from different parts of the world revealed diverse opinions for failing to start insulin therapy in patients with diabetes.

The Translating Research Into Action for Diabetes (TRIAD) study was conducted in USA in poorly controlled, insulin-naïve and insulin-eligible T2DM patients who were primary adherent or non-adherent to insulin therapy³⁴. Patients with similar clinical profile visiting hospital in Taiwan were interviewed on perceived barriers to insulin initiation³⁵. Similarly, focus group discussions between patients and physicians in Singapore³⁶, interviews and focus groups of healthcare professionals (HCPs) from Malaysia³⁷ have revealed interesting reasons on barriers to insulin initiation. The patients responses observed in these surveys are enlisted in Table 1. The studies supplement the data from the DAWN and GAPP studies.

Healthcare system in India

Inadequate diabetes care professionals, equipment, treatment protocols, and standards for healthcare facilities contribute to clinical inertia in the management of diabetes. Moreover, legal requirement of practice of evidence-based guidelines, disparity in access to healthcare based on socio-economic status, urban-rural residence, healthcare systems and practices also contribute to clinical inertia. In addition, lower levels of awareness of diabetes, its risk factors, associated complications and methods to improve health among patients with diabetes delay and/or cause poor adherence to insulin therapy. Medication non-adherence is a result of: focus on acute healthcare services, lack of pricing control³⁸, uncertainty about

the availability diabetes care supplies in public healthcare facilities at rural settings³⁹, increased indirect expenditure⁴⁰, etc. Disparities in distribution of qualified healthcare workforce contributes to clinical inertia through restriction in access to quality diabetes care⁴¹ in Madhya Pradesh, 75.6% healthcare workforce is in private sector, and 80% of it is concentrated in urban areas.

Overcoming clinical inertia in diabetes management

It's not only essential to improve therapeutic adherence in patients through standard initiatives but also apply provider-directed strategies and improve certain components of healthcare process to overcome clinical inertia in diabetes management and achieve effective glycaemic control and improved quality of life. The observed clinical inertia due to patients, physicians, and the healthcare system can be overcome with strategies aimed at:

- Efficacy and safety of antidiabetic drugs
- Convenience and lifestyle of patient
- Patient and physician education on diabetes care
- Healthcare system

Efficacy and safety

Following failure to achieve glycaemic control with lifestyle changes and OADs, initiation of insulin therapy is the next step. To overcome clinical inertia due to multiple insulin injections a smooth, relatively flat, 24-hour basal insulin supply, protracted basal insulin levels, with longer duration of action, once daily administration and minimal intra-patient variability can be prescribed to patients with diabetes⁴². However, use of basal insulin is limited by the lack of provision for prandial insulin⁴³. Hence, premix insulin is the preferred insulin of choice.

Premix insulin⁴⁴

- Simple to start
- Option to intensify with same insulin
- Coverage of FPG and post-prandial plasma glucose
- Improves HbA_{1c}
- Twice daily injection
- Low risk of hypoglycaemia and weight gain
- Available in vials and insulin delivery devices

Location	Study population	Patient barriers
USA³⁴, (N=69)	Insulin-naïve, insulin-eligible patients with T2DM non-adherent to insulin	Misconceptions about insulin risk, plans to improve on diet and exercise, negative impact on social life and job, sense of personal failure, injection phobia, hypoglycaemia concerns, HCPs inadequately explaining risks/benefits
Malaysia³⁵, (N=38)	HCPs providing diabetes care to patients from different ethnicities	Culture-specific religious purity of insulin, preferred use of complementary medicine, perceived lethality and stigma of insulin therapy, fear of side effects, lack of knowledge and self-efficacy, negative influence from family members
Singapore³⁶, (N=11)	Patients with T2DM	Refusal to accept insulin therapy, perception as social stigma, inconvenient mode of treatment or punishment for failure, needle phobia, fear of side-effects
Taiwan³⁷, (N=15)	Patients who refused insulin treatment	Reluctance of physicians, misconceptions about insulin risk, low adaptation capacity, needle phobia, psychological resistance

Table 1: Surveys from different countries reporting barriers to insulin initiation

The usage of premix insulin is quite high in some parts of the world and represented about 40% of the world market in human insulin, in the year 2000⁴⁵. Use of premixed insulin has been advocated by several guidelines. The American Association of Clinical Endocrinologists, IDF and Indian Council of Medical Research⁴ diabetes treatment guidelines recommend use of premix insulin twice a day in patients with elevated HbA_{1c}. Evidence also suggest that premix insulin may be used as an option in primary care⁴⁶⁻⁴⁸.

Evidence for use of premix insulin in primary care⁴⁶⁻⁴⁸

- Addition of premix insulin to OADs: effective in achieving HbA_{1c} targets (60% patients), than adding basal insulin (40% patients) in patients with T2DM⁴⁶
- Similar efficacy of premix insulin therapy with basal bolus therapy in HbA_{1c} reduction (-1.23% and -1.56%, respectively), in insulin naïve patients⁴⁷
- Similar safety profiles (major and minor hypoglycaemic episodes) of premixed insulin compared to bolus aspart⁴⁸

Effective insulin regimens with low risk of hypoglycaemia and weight gain can lead to increased patient adherence and decreased clinical inertia. Physicians expressed a wish for an insulin regimen with sustained efficacy capable of compensating for missed or delayed doses, and indicated their willingness to manage diabetes aggressively if not for concern about hypoglycaemia (75.5%)⁶ in the GAPPTM study. Thus, customizable, patient-centric options are important in improving adherence to insulin.

Recommendations for best practices in injection techniques

The DAWN study elucidated the reasons for resistance to insulin therapy among patients and providers²⁶. Often the reluctance among patients is psychological and physician's attitudes and beliefs are also associated in the clinical inertia. The first Indian insulin injection technique guideline has been developed by the Forum for Injection Technique (FIT) in India⁴⁹. This guideline has been adapted from the Third Injection Technique workshop in AthenNs (TITAN)⁵⁰. This guideline provides good injection techniques and evidence-based recommendations to assist the physicians and patients towards achieving optimal glycaemic control.

Pre-injection assessment: Physicians should make a thorough clinical, sociocultural, and environmental assessment before prescribing insulin therapy in patients.

Pre-injection counseling: In order to overcome the clinical inertia, physicians should provide a sense of control over their treatment plan and usage of devices to patients (children, adolescents, adults). This will improve the acceptance of patients to a prescribed therapeutic regimen. For adult population the guideline recommends to "educate all newly-diagnosed patients about the course of diabetes and the need to start insulin therapy (A3)".

Injection storage: In order to increase the shelf-life of the injections "specific storage guidelines given by the manufacturer should be followed (A1); insulin pens and vials

not in use are to be refrigerated at a temperature of 4-8 °C, but not frozen (A1); if insulin is frozen, it should be discarded (A1); insulin should be stored in a cool and dark place at room temperature (15-25 °C) and discarded 30 days after initial use or as per manufacturers instruction; and insulin should be kept out of reach of children (A1)". While travelling by air or road, special attention should be paid for storage of insulin.

Device selection and use: Special attention should be paid both by the HCP and patient towards selection and use of right insulin delivery device (pen or syringe and vial), right syringe, needle size and needle strength. Prior to injection, the patient must verify expiration date and the dose, and if the correct type of insulin is being prepared for injection (A1); the choice of injection device, needle length, gauge, and type of insulin syringe should be emphasised into the prescription (A1); and the insulin bottle should be examined to ensure that there are no changes in insulin.

Use of insulin pens for injection is advantageous over syringe and vial due to shorter needle size, safety and tolerability. In obese patients, studies have reported equal efficacy, safety/tolerability of shorter needles (4-5 mm) in comparison to longer ones (A1). Adults do not require the lifting of a skin fold, particularly for 4 mm needles (A1). A needle of 4 mm length is considered to be safe and efficacious in patients of all age groups (A1). The safest needle for children appears to be the 4 mm pen needle (A1).

Injection site: Insulin is often injected through subcutaneous, intravenous, intravenous infusion and intramuscular routes to achieve optimal glycaemic control. So choosing of injection site along with cleaning of infection site to use of skin folds and injection angle should be taken into consideration by the HCPs.

Injection techniques: Syringe is a primary injecting device used in India, so to inject insulin this guideline recommends that "insulin vial should be taken out of refrigerator 30 minutes prior to injection to ensure that the insulin is at room temperature. Make sure that it is not expired and the bottle is not damaged (A1); if using cloudy insulin, inspect for any changes, i.e. clumping, frosting, or precipitation and ensure that the insulin is uniformly mixed by rolling the vial between palms (A3)".

This guideline also provides recommendations on various topics such as mixing of insulin, device preparation for injecting insulin, injection-meal time gap, lipohypertrophy, disposal of injecting material and patient and physician education.

Convenience and lifestyle

Initiation of insulin therapy is more challenging for a physician, as it requires changes in patient's lifestyle and adherence to new patterns of thought and behaviour. In most cases, it is associated with negative impact on quality of life and low rates of adherence²⁵. Patient barriers of pain, fear due to injection and embarrassment to inject in public can be addressed with the help of latest pen devices. Smaller and narrower NovoFine[®] 32-gauge tip 6 mm needles (p<0.0001) are associated with less frightening (p<0.05), less painful (p<0.01), and less frequent bleeding and bruising (p<0.001) as compared with Micro Fine Plus 31-gauge 5 mm needles⁵². A large post-marketing observational study that evaluated

Physician strategies - convenience and lifestyle^{29,51}

- Comprehensive team-based approach, with access to all diabetes care needs under one roof
- Patient-centric approach-avoid undue burden of therapies⁵¹
- To negotiate and initiate motivation in patients with negative /neutral attitude to insulin with support from family and friends⁵¹
- To evolve socio-culturally responsive, Indian national guidelines to address concerns of non-applicability of western guidelines²⁹
- Recommend therapeutic modalities sensitive to the cultural and socio-economic needs of patients for better treatment adherence

treatment satisfaction with NovoPen® 4 over other delivery devices in insulin-treated and insulin-naïve patients reported a significant preference for NovoPen® 4 over other insulin devices for ease of use and learning that potentially led to improved acceptance of and compliance with prescribed insulin therapy⁵³ (Figure 3).

Advantages of pens over syringes and vials^{54,55}

- Improved acceptability and adherence to injections⁵⁴
- Better convenience in insulin delivery, discreet to use
- Increased portability, ease of use and dosing accuracy
- Simpler to use for special populations: children and adolescents, pregnant women and older adults



Figure 3: NovoPen® 4

Education

Clinical inertia due to lack of awareness of evidence-based guidelines and updated knowledge of therapeutic processes can be overcome by structured education programs such as continuing medical education and workshops for practicing physicians⁵⁶ and paramedical personnel. Educating physicians that new forms of insulin reduce the risk of hypoglycaemia and integrate more easily into patient's lifestyles can help reduce anxiety of initiating insulin injection. Patient awareness of strategies to prevent, recognise, and treat hypoglycaemia and the benefits of linking therapy with timely and routine blood glucose monitoring (BGM) can improve diabetes care outcomes.

The impact of educational interventions is clear from previous studies. Educational interventions carried out on dietary modification, improving physical activity, and knowledge improvement showed reduced FPG levels in 25% adults with T2DM in a resource-poor village of Tamil Nadu⁵⁷.

Another multinational study found that educational intervention was associated with improved glycaemic control, insulin use, self-care performance and lower rate of chronic complications⁵⁸ in patients with diabetes.

Patients with self-management and diabetes-related psychosocial problems receiving intensive diabetes education program⁶¹, showed improvements in HbA_{1c} levels ($p < 0.01$), psychosocial issues ($p < 0.001$) and reduction in mean diabetes-related costs to half ($p = 0.001$). Similarly, better medication adherence and better glycaemic control (HbA_{1c} #6.5%, $p < 0.05$) were found to be associated with patients' knowledge about diabetes⁶². Interactive small-group education methods have also showed improved glycaemic control (6.7% vs. 6.4%, $p < 0.001$) than conventional education⁶³.

Multiple modes of educating general population in India^{59,60}

- Generate awareness through public personalities and community leaders
- Disseminate factual details of insulin through mass media
- Encourage public private partnerships for free/subsidised distribution of insulin and BGM tools
- Train social healthcare workers to counsel patients (in local language if required) to improve treatment adherence, responsive to daily activities
- Encourage telemedicine based multi-disciplinary care for improved adherence, saving time and resources
- Invite public personalities for endorsing diabetes management

Suggestions on response to patient's concerns about insulin therapy

Educating patients on all aspects of therapy, including ways to overcome inertia in insulin initiation and adherence can be accomplished by the treating physician. Patient's non-adherence to insulin therapy might not be due to choice but the constraints that T2DM places on their lifestyle. By providing awareness on therapy and possibilities to enhance the flexibility of therapy, adherence to insulin injections can be improved. Patient education should address their knowledge regarding insulin therapy, concerns and perceived barriers to insulin therapy, providing solutions through collaborative goal setting and continued support⁶⁴. The suggestions on response to patient's concern about insulin therapy have been listed in Table 2⁶⁵.

Healthcare system

Public healthcare systems should be designed to achieve and maintain evidence-based goals of diabetes management at affordable cost to the largely needy population of India. Targeting healthcare benefits, including essential medicines and treatment, to socio-economically backward strata of the population can improve access and adherence to therapy. Schemes such as Community Health Insurance and Rashtriya Swasthya Bima Yojana⁶⁶ have the potential to improve access to hospital care, even for vulnerable sections of the community-the poorest, individuals with pre-existing conditions like diabetes and hypertension^{66,67}. Information technology-based

Barrier

Physician strategies to address patient concerns

Sense of loss of control over one's life	<ul style="list-style-type: none">• Counsel patients that he or she can manage diabetes and take control of life by following insulin regimen
Sense of personal failure	<ul style="list-style-type: none">• Explain that insulin is required due to the natural progression of T2DM and not due to patient behaviour• During diagnosis, indicate the possibility of insulin use at a later stage of disease• Do not project insulin therapy as punishment or threat for non-compliance to diet, exercise, and medication
Belief that disease has worsened	<ul style="list-style-type: none">• Explain that most patients will require insulin due to the progressive beta-cell failure• Explain that all patients experience beta-cell failure but at different rates• Describe that insulin therapy can improve glycaemic control at any point in treatment continuum
Perception that insulin is not effective	<ul style="list-style-type: none">• Explain that proper use of insulin improves glycaemic control• Assure that insulin therapy improves symptoms, makes patient feel better and energetic
Injection-related anxiety	<ul style="list-style-type: none">• Demonstrate that needles are small and very fine• Introduce user-friendly insulin pens• Assure patient that injections are less painful than finger sticks used for self-monitoring of blood glucose
Fear of weight gain	<ul style="list-style-type: none">• Explain that some modern insulins can attenuate weight gain than others• To minimise weight gain and improve glycaemic control with insulin therapy, prescribe daily exercise
Fear of hypoglycaemia	<ul style="list-style-type: none">• Explain that frequency of serious hypoglycaemia is rare• Long-acting, once-daily formulations cause less hypoglycaemia, especially nocturnal hypoglycaemia• Educate patient on symptoms of hypoglycaemia and its treatment and preventive measures
Lack of confidence in ability to manage	<ul style="list-style-type: none">• Long-acting insulins can be used at convenient timings like evening meal or at bedtime• Other insulins can be used at any time of the day, preferably at the same time of day• Insulin pens are easy to administer• Certified diabetes educators are available to assist on all aspect of insulin therapy
Concerns of family, work, and friends	<ul style="list-style-type: none">• Explain patients that taking insulin should not affect their ability to work as long as treatment guidelines are observed. However, employers should be informed of their insulin therapy• Patients should ensure that people close to them are aware of all aspects about their disease management

Table 2: Strategies to address patients' concerns regarding the use of insulin (adapted from Peyrot et al⁶⁴)

interventions such as maintaining electronic medical records, using clinical decision support tools (e.g. algorithms, data prompts) can maximise the efficiency of time and data related issues affecting clinical inertia while enabling appropriate, evidence-based care⁶⁸ especially in low- and middle- income countries⁶⁹. In addition, implementing and integrating a single chronic care model can help overcome clinical inertia by providing an appropriate strategy for treating different chronic conditions. For instance, training social health workers on broad spectrum of chronic diseases can reduce human resources required for each disease⁶².

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